

Rate card

Investment management & stockbroking services

Now, for tomorrow

Portfolio management charges

Discretionary Portfolio Management

Portfolios up to £1m **1% per annum***

Portfolios over £1m **0.75% on the balance above £1m***

*of the value of assets under management, charged monthly in arrears. Transaction charges applicable as agreed.

Nominee custody account – transaction charges

UK Securities, Unit Trusts/OEICs

All deals are subject to a contract charge of £25 plus a Firm Charge of:

	%
Value up to £10,000	1.3
Next £10,000 value	0.5
Remaining value	0.25
Minimum Firm Charge	£13

International Securities

All deals are subject to a contract charge of £75 plus a Firm Charge of:

	%
Value up to £10,000	1.75
Next £10,000 value	1.0
Remaining value	0.5
Minimum Firm Charge	£175

Certificated charges – transactions in UK Securities

All deals are subject to a contract charge of £30 plus a Firm Charge of:

	%
Value up to £10,000	1.7
Next £10,000 value	0.7
Remaining value	0.5
Minimum Firm Charge	£17

Tax vouchers

Consolidated Income Tax Voucher (optional)

£25 per voucher

Consolidated Capital Gains Tax Schedule (optional)

£75 per schedule

Custody charges

Our Nominee custody charge is 0.35% of the portfolio value per annum (charged monthly and subject to a minimum charge of £5 per month).

Stocks & Shares ISA Charges

Our ISAs are subject to the Nominee custody charge of 0.35% as above plus:

ISA Administration charge of 0.25% per annum of the plan value per annum (charged monthly and subject to a minimum charge of £5 per month, plus VAT).

Transfer charges

Holdings transferred into our nominee account are charged at a rate of £10 per holding.

Transfers out are charged at a rate of £30 per holding.

Other charges

A full list of additional charges is available on request or by viewing our website. Please visit www.mhacaves.co.uk/literature

Notes

Adjustments (if the client's error) will be charged separately.

Late payment for purchases, or delivery of stock for sales, will incur additional administration and interest charges.


All fees will be subject to VAT where applicable.


Charges and Fees may alter. You should confirm current charges before conducting business.

We reserve the right to levy additional fees for transaction instructions received through 3rd Parties.

UK securities defined as those listed on the Main Market or Alternative Investment Market of the London Stock Exchange.

Rates correct as of 1st August 2024.

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MHA Caves Wealth is authorised and regulated by the Financial Conduct Authority.
FCA Number 143715. Member of the London Stock Exchange.

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 **mha**
CAVES WEALTH